

# Maltese Financial Regulation (MFR)

The aim of the Maltese Financial Regulation module is to give a solid background on the local regulatory framework. This enables participants to better understand the implications and the regulatory environment in which they operate. This module further enables participants to become proficient for a licensee to provide investment advice (in addition to the Certificate for Financial Advisers). It is recommended that students undertaking this module course would have obtained or are currently undertaking relative study to complete the CeFA qualification. At the end of the course of study students should be able to demonstrate their skills in two main parts:

Part A – Knowledge and Understanding (Legislation, regulation, enforcement and compliance in Malta)

Part B – Application (ability to synthesise the knowledge and understanding obtained above to provide answers to practical questions and case studies within a Maltese context applicability)

## **Syllabus, Tuition and Fees**

The course consists of 18 hours of classroom-based tuition. The minimum recommended study time is 100 hours. The approach taken is modular and subject based. The Institute of Financial Services – Malta runs this programme as an evening course starting as from October 2011. Examinations are held in January 2012. Lectures are held once per week. The fee for the programme is € 315.00 and includes tuition by expert legal practitioners, study material and one exam registration.

## **Assessment**

Candidates are assessed by means of a 2 hour paper consisting of two sections which carry equal weight towards the final mark.

- (i) Part A consists of 25 compulsory questions and candidates are required to give a two line answer *(50% of the final mark)*
- (ii) Part B consists of 2 out of 3 case studies, to be answered in an essay format *(50% of the final mark)*

Candidates must satisfy the examiner in both sections to pass the paper, thus obtaining at least 25% of the marks in each section. The paper is examined in accordance with Maltese law and practice.

## **Method of Application**

To register for this course programme kindly fill in and submit the rear application form to the ifs Malta, 60, G'Mangia Hill, Pieta PTA 1316 or scan and email on [info@ifsmalta.org](mailto:info@ifsmalta.org) by Friday, 30th September 2011.

## MFR Registration

I wish to register for the following modules and respective tuition support, including study material:

**Tuitions**                      Regulation of the Financial Services                      [ \_\_ ] € 315  
    Law Relating to Investment Services  
    Law Relating to Banking  
    Law Relating to Insurance  
    Financial Markets Act & Ancillary Legislation

<b>Personal</b>	
Name & Surname: _____ ifs Membership _____	
Date of Birth: ____/____/____ I.D. No.: _____ E-mail: _____	
Home Address: _____ _____	
Telephone: _____	Mobile: _____
<b>Office</b>	
Office Address: _____	
Office Tel: _____	Office E-mail: _____
I certify that the information hereby provided is true and correct:	
_____ Signature	_____ Date

**Refund Policy:** Full refund is provided prior to start of course. No refund once the course has started.

**Membership:** Registration entitles you to become a full registered local member of the **ifs Malta**. This membership is free and valid for a maximum period of twelve months, after which the Committee will evaluate and consider whether to extend such membership for another fixed period or for an indefinite period of time. Renewal of membership is valid for an additional twelve month period. The local membership is subject to review by the Committee at any time.

**Data Protection Notice:** the Institute holds and processes your personal data in order to give you the full benefits of being a student; for administration purposes and to inform you of any future ifs events, services and other related information. In instances where employers sponsor their employees for all or parts of the course/s, ifs Malta is bound to inform such employers upon the performance and results of their relevant employees if any assessment parts are carried out. ifs Malta does not sell or give your personal data to any other third party. In the eventuality that ifs Malta would need to give any personal data to a third party, you will on a case by case basis, be duly informed and you will also be given the opportunity to refuse that such data be given. You have the right to request access to and rectification of, the personal data concerning you that is held by ifs Malta.