

# Diploma for Financial Advisers (DipFA)

The Diploma for Financial Advisers (DipFA) is a highly relevant programme of study that develops the knowledge required and enhances skills and practical understanding needed to operate in the field of financial advice. Students having completed the CeFAP can use this qualification as a stepping stone to study this Diploma, helping them to become qualified professional retail investment advisers.

This qualification comprises two mandatory units:

- **Unit 1 Financial Services Regulations and Ethics:**  
This unit explores the environment within which the financial services industry operates and the key components and interrelationships of the financial system.  
This unit has one objective test comprising of 100 multiple choice questions.
- **Unit 2 Advanced Financial Advisers**  
Unit 2 concentrates on products, services and legislation that informs the financial planning and advice process.  
This unit is assessed by coursework and examination. The coursework is submitted after approximately 16 weeks of study and comprises a written assignment and short answer questions. The examination takes the form of a written examination which focuses on the production of a report, based on a client Factfind. The Factfind is made available six weeks prior to the examination date.

## Some key points:

- DipFA is equivalent to EQF/MQF Level 5
- Can be completed in nine months
- Comprehensive tutor-based support
- Case-study-based learning and assessment partly multiple choice testing—results provided on the day
- Institute membership opportunity available
- Year-round examinations

## Method of Application for Registration

Candidates who wish to apply and register for this programme need to fill in their details on the application form provided at the rear of this page and submit the application either by mail on ifs Malta, 60, G'Mangia Hill, Pieta PTA 1316 or by email on [info@ifsmalta.org](mailto:info@ifsmalta.org)

**Refund Policy:** Full refund is provided prior to start of course. Less €50 administration fee. No money will be refunded once the course has started

**Data Protection Notice:** ifs Malta holds and processes your personal data in order to give you the full benefits of being a student, for administration purposes and to inform you of any future ifs Malta events, services and other related information. In instances where employers sponsor their employees for all or parts of the course/s, ifs Malta is bound to inform such employers upon the performance and results of their relevant employees if any assessment parts are carried out. ifs Malta does not sell or give your personal data to any other third party. In the eventuality that ifs Malta would need to give any personal data to a third party, you will on a case-by-case basis, be duly informed and you will also be given the opportunity to refuse that such data be given. You have the right to request access to and rectification of, the personal data concerning you that is held by ifs Malta.

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## Registration Form

### Registration fee

#### Tuition: Unit 1 & 2

[ \_\_ ] € 550

(incl. 55 hours of tuition. Tuition classes are offered subject to number of registrations)

#### Registration with LIBF

[ \_\_ ] € 1,050

Including: learning material and examinations

### Resit Fees

Unit 1

[ \_\_ ] € 190

Unit 2 Coursework

[ \_\_ ] € 230

Unit 2 Examination

[ \_\_ ] € 230

Unit 2 Coursework Resubmission

[ \_\_ ] € 125

### Personal Information

Name & Surname: \_\_\_\_\_ ifs Membership: \_\_\_\_\_

Date of Birth: \_\_\_/\_\_\_/\_\_\_ I.D. No.: \_\_\_\_\_ E-mail: \_\_\_\_\_

Home Address: \_\_\_\_\_  
\_\_\_\_\_

Telephone: \_\_\_\_\_ Mobile: \_\_\_\_\_

### Office

Office Address: \_\_\_\_\_

Current Occupation: \_\_\_\_\_

Office Tel: \_\_\_\_\_ Office E-mail: \_\_\_\_\_

I hereby certify that the information given above is true and correct:

\_\_\_\_\_  
Signature

\_\_\_\_\_  
Date

**Kindly write cheques payable to the Institute of Financial Services (Malta)**

Cheque No.: \_\_\_\_\_ BOV / HSBC / APS / LOMBARD / BANIF / CBM Amount Paid in €: \_\_\_\_\_