

# Advanced Certificate in Global Securities Operations

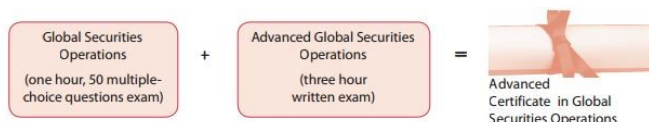


The Advanced Certificate in Global Securities Operations enables candidates to develop their skills and knowledge of operations within the global marketplace.

This Advanced Certificate will equip you with the confidence and qualifications to pursue a senior management position within operations. It explores the challenges of securities operations and covers best practice techniques used in the industry. It builds on the well-established CISI Investment Operations Certificate (IOC) and is a stepping-stone to the Diploma in Investment Operations.

## How is the qualification structured?

The qualification is achieved by successfully passing:



## Studying for the ACGSO

- ◇ Candidates are advised to allocate approximately 80 hours for the Global Securities Operations unit and 100 hours for the Advanced Global Securities Operations.
- ◇ The computer based testing is delivered at Computer Domain in Mosta.
- ◇ The fee covers the registration, the exam entry, a copy of the pdf workbook and one year student membership.

## Higher level CISI qualifications

Further study opportunities include:

- ◇ Diploma in Investment Operations (EQF Level 6)

## Syllabus summary

The qualification consists of two units:

### Global Securities Operations

- ◇ Securities
- ◇ Main industry participants
- ◇ Settlement characteristics
- ◇ Other investor services
- ◇ Aspects of taxation
- ◇ Risk

### Advanced Global Securities Operations

- ◇ Investment Account Openings
- ◇ UK Market and Stock Exchange Fees and Taxes
- ◇ Settlement
- ◇ Custodians
- ◇ Stock Lending and Stock Borrowing
- ◇ Cash Management
- ◇ Corporate Entitlements
- ◇ Straight-through Processing and Technology
- ◇ Service Level Agreements
- ◇ Managing Client Transitions to meet client-led objectives

## Who should study this Qualification?

It is primarily aimed at supervisors, team leaders, assistant managers, managers and senior technical specialists working in investment operations (custody, settlements, corporate actions, treasury operations/cash management, derivatives and reconciliations).

If you have already successfully completed the Global Securities Operations unit within the IOC you are exempt from unit one and will only need to pass the Advanced Global Securities Operations exam to complete the qualification.

# Advanced Certificate in Global Securities Operations

## Registration Form

<b>CISI Fee</b>	One time CISI Registration Fee	[ <input checked="" type="checkbox"/> ] € 40
<b>Global Securities Operations</b>		[ <input type="checkbox"/> ] € 290
<b>Advanced Global Security Operations</b>		[ <input type="checkbox"/> ] € 430
<b>Resit Fee</b>		
Global Securities Operations		[ <input type="checkbox"/> ] € 155
Advanced Global Security Operations		[ <input type="checkbox"/> ] € 325

*\* the above fees include examination sitting, pdf workbooks and certification.  
For written exams a local invigilation fee will be charged.*

<b>Personal Information</b>	
Name & Surname: _____ CISI Membership (if any): _____	
Date of Birth: ____ / ____ / _____ I.D. No.: _____ Contact No.: _____	
Home Address: _____	
E-mail Address: _____	
<b>Office Information</b>	
Office Address: _____	
Office E-mail: _____ Office Tel: _____	
I certify that the information hereby provided is true and correct:	
_____ Signature	_____ Date

**Refund Policy:** Full refund is offered prior to start of course, deducting a €50 administration fee. No refund applicable once the course has started.

**Membership:** Registration entitles you to become a full registered local member of the ifs Malta. This membership is free and valid for a maximum period of twelve months, after which the Committee will evaluate and consider whether to extend such membership for another fixed period or for an indefinite period of time. Renewal of membership is valid for an additional twelve month period. The local membership is subject to review by the Committee at any time.

**Data Protection Notice:** ifs Malta holds and processes your personal data in order to give you the full benefits of being a student, for administration purposes and to inform you of any future ifs Malta events, services and other related information. In instances where employers sponsor their employees for all or parts of the course/s, ifs Malta is bound to inform such employers upon the performance and results of their relevant employees if any assessment parts are carried out. ifs Malta does not sell or give your personal data to any other third party. In the eventuality that ifs Malta would need to give any personal data to a third party, you will on a case-by-case basis, be duly informed and you will also be given the opportunity to refuse that such data be given. You have the right to request access to and rectification of, the personal data concerning you that is held by ifs Malta.