

Global Financial Compliance



The Global Financial Compliance qualification addresses techniques used to implement a successful compliance function in a firm. It provides a comprehensive introduction to all the issues a global Compliance Officer may encounter. It addresses international issues including the international regulatory environment, managing the risk of financial crime, governance, risk management, ethics, integrity and fairness.

A successful compliance function is a fundamental requirement for any effective business; in financial services it is a critical component.

This Certificate qualification is locally accredited by the Malta Qualifications Council (MQC) at EQF Level 4.

Who Should study this course and its Key features?

This programme is suitable for all compliance staff and will be especially relevant to Compliance Officers.

Specialist qualification - covers all key aspects of compliance
Globally portable - addresses international issues, reflecting the needs of a worldwide market

Flexible study options—can be taken as a stand-alone qualification or combined with other units to achieve the full Certificate in Global Financial Compliance or Investment Operations Certificate

Syllabus

1. The International Regulatory Environment
2. The Compliance Function
3. Managing the risk of financial crime
4. Ethics, Integrity and Fairness
5. Governance, Risk Management and Compliance

The full syllabus is available on cisi.org/gfc

How is the qualification structured?

As a stand alone award the qualification received is that of an Award in Global Financial Compliance. Alternatively this qualification can be taken together with one of the following regulatory exams:

- UK Financial Regulation
- Maltese Financial Regulation

Upon successful completion of Global Financial Compliance and a regulatory paper, candidates will be awarded the Certificate in Global Financial Compliance.

Studying for the Global Financial Compliance

100 hours of study time are required for the Global Financial Compliance. A further 80 hours are required for either the UK Financial Regulation or the Maltese Financial Regulation.

Assessment

The examination of the Global Financial Compliance consists of a two-hour paper consisting of 100 multiple-choice questions. The FSA Financial Regulation / Principles of Financial Regulation consists of a one-hour paper consisting of 50 multiple-choice questions. The Maltese Financial Regulation exam consists of a 2-hour written paper.

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Registration Form

CISI Fee	One time CISI Registration Fee	[<input checked="" type="checkbox"/>] € 40
GFC	Stand-alone (registration & certification)*	[<input type="checkbox"/>] € 380
	Resit Fee	[<input type="checkbox"/>] € 300
Add-ons	UK Financial Regulation (registration & certification)*	[<input type="checkbox"/>] € 335
	Resit Fee	[<input type="checkbox"/>] € 250
	Maltese Financial Regulation	[<input type="checkbox"/>] € 350
	Resit Fee	[<input type="checkbox"/>] € 60

* the above fees include examination sitting, pdf workbooks and certification

Personal Information	
Name & Surname: _____	CISI Membership (if any): _____
Date of Birth: ____ / ____ / _____	I.D. No.: _____ Contact No.: _____
Home Address: _____	
E-mail Address: _____	
Office Information	
Office Address: _____	
Office E-mail: _____	Office Tel: _____
I certify that the information hereby provided is true and correct:	
_____ Signature	_____ Date

Refund Policy: Full refund is offered prior to start of course, deducting a €50 administration fee. No refund applicable once the course has started.

Membership: Registration entitles you to become a full registered local member of the ifs Malta. This membership is free and valid for a maximum period of twelve months, after which the Committee will evaluate and consider whether to extend such membership for another fixed period or for an indefinite period of time. Renewal of membership is valid for an additional twelve month period. The local membership is subject to review by the Committee at any time.

Data Protection Notice: ifs Malta holds and processes your personal data in order to give you the full benefits of being a student, for administrative purposes and to inform you of any future ifs Malta events, services and other related information. In instances where employers sponsor their employees for all or parts of the course/s, ifs Malta is bound to inform such employers upon the performance and results of their relevant employees if any assessment parts are carried out. ifs Malta does not sell or give your personal data to any other third party. In the eventuality that ifs Malta would need to give any personal data to a third party, you will on a case-by-case basis, be duly informed and you will also be given the opportunity to refuse that such data be given. You have the right to request access to and rectification of, the personal data concerning you that is held by ifs Malta.